



2823

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/678,414	
	Filing Date	October 2, 2000	
	First Named Inventor	David W. Carlson	
	Group Art Unit	2823	
	Examiner Name	B. Kebede	
Total Number of Pages in This Submission	16	Attorney Docket Number	072219-0274900 (P04792)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s) One Sheet Amended	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment to Paper No. 4	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final (Response)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Certificate of Mailing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Please charge any deficiencies in fees or overpayment to Deposit Account No. 03-3975 (a copy of this transmittal is enclosed for this purpose).
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Mark C. Pickering, Reg. No. 36,239
Signature	
Date	March 15, 2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on this date: March 15, 2002		
Typed or printed name	Robin L. King	
Signature		Date
		March 15, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

09/678,414
Response to Office Action Mailed December 19, 2001

#6/Amend A
PATENT
3/29/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David W. Carlson

Appln. No.: 09/678,414

Filed: October 2, 2000

For: METHOD FOR PLANARIZING A THIN
FILM

Group Art Unit: 2823

Examiner: B. Kebede

RESPONSE TO OFFICE ACTION MAILED
DECEMBER 19, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the
United States Postal Service, postage prepaid, in an envelope,
addressed to Box Non-Fee And Commissioner for Patents,
Washington D.C. 20231-9999 on 03-15-02

Dated: 03-15-02

By: Debra D. Keag

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Official Action mailed December 19, 2001, please amend the
above-identified application as follows:

In the Drawings

FIG. 3A has been amended to add reference label 321 to represent the top surface of
polysilicon layer 320, and label 300 has been repositioned.

FIG. 3C has been added to illustrate the formation of a layer of material 342.

In the Specification

The fourth paragraph on page 4 has been amended to read as follows:

A1
FIGS. 3A-3C are cross-sectional drawings illustrating a method for forming a thin,
planarized layer of polysilicon in accordance with the present invention.

The fifth paragraph on page 4 has been amended to read as follows:

A2
FIGS. 3A-3C show cross-sectional drawings that illustrate a method for forming a
thin, planarized layer of polysilicon in accordance with the present invention. As shown in